

## Personal Disclosure Statement - Lance Pooley

<b>Advisors Name</b>	Lance Pooley
<b>Trading Name</b>	Threefold General (FSP450488)
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<b>Date Disclosure Was Prepared On</b>	28 <sup>th</sup> April, 2018

**It is important that you read this document:** This information will help you choose a financial advisor that best suits your needs. It will also provide some useful information about the financial advisor that you choose.


**What sort of advisor am I?** I am a registered (but not Authorised) Financial Advisor (RFA). You can check that I am a registered financial adviser at <http://www.business.govt.nz/fsp>.

**I can give you advice in the following areas:** Insurance contracts, other than investment-linked Insurance contracts.

**What should you do if something goes wrong:** In accordance with the statutory requirements of the Financial Service Providers (Registration and Dispute Resolution) Act 2008, Threefold General is a member of an independent dispute resolution scheme operated by Financial Services Complaints Ltd ("FSCL"), approved by the Ministry of Consumer Affairs. If at any time during the term of engagement you are dissatisfied with the service you receive from me, I would encourage you to contact me so that we can work with you to resolve it. We have 40 days to respond to your complaint but will undertake to respond within 10 working days. If you are not satisfied by our response, you may refer the matter to FSCL by emailing [info@fscl.org.nz](mailto:info@fscl.org.nz) or calling FSCL on 0800 347 257. Full details on how to access the FSCL scheme can be obtained on their website [www.fscl.org.nz](http://www.fscl.org.nz). There is no cost to you to use the services of FSCL.

**How am I regulated by the Government?** You can check that I am a registered financial advisor at <http://fspr.govt.nz>. The Securities Commission regulated financial advisers, Contact the Securities Commission for more information, including tips and warnings. You can report information or complain about my conduct to the Securities Commission, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under what should you do if something goes wrong?).

**ADVISOR DECLARATION:** I, Lance Pooley declare that to the best of my knowledge and belief the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

<b>Lance Pooley:</b> 	<b>Date:</b> 28/04/2018
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**CLIENT ACKNOWLEDGEMENT:** I / we acknowledge that Lance Pooley has explained the content of this Personal Disclosure Statement and has given me/us a copy:

<b>Client Name:</b>	<b>Client Name:</b>
<b>Signature:</b>	<b>Signature:</b>
<b>Date:</b>	<b>Date:</b>